



Attorney Docket No: IDF 1693 (4000-05000)

09/915 846

Patent

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patentee: H. Winnfred Smith  
Patent No.: 6,996,601 *Bi*  
Issued: February 7, 2006  
For: Process for Managing Change within an Enterprise

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Group Art Unit: 2142 **Certificate**  
Examiner: Thong H. Vu **MAR 28 2006**  
Confirmation: 7187 **of Correction**

Mail Stop: Certificate of Correction Branch  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail, postage prepaid in an envelope addressed to: Mail Stop: Certificate of Correction Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

3-21-06  
Date of Deposit

Jean E. Brown  
Jean E. Brown

**PETITION FOR CERTIFICATE OF CORRECTION**

Commissioner:

Patentee hereby requests that a Certificate of Correction be issued pursuant to 37 C.F.R. §1.322 to correct the mistakes as set out in the attached draft certificate.

All mistakes to be corrected are minor and editorial in nature. The Commissioner is hereby authorized to charge Deposit Account No. 21-0765, Sprint, in the amount of \$100.00 for the appropriate fee associated with this communication, as well as any additional fees that may be due.

Respectfully submitted,

Date: 3-21-06

CONLEY ROSE, P.C.  
5700 Granite Parkway, Suite 330  
Plano, Texas 75024  
(972) 731-2288  
(972) 731-2289 (fax)

Rodney B. Carroll  
Reg. No. 39,624

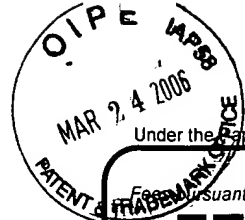
ATTORNEY FOR APPLICANTS

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**MAR 28 2006**



Fee is pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

# FEE TRANSMITTAL

## For FY 2006

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)

100.00

**Complete if Known**

Application Number	09/915,846
Filing Date	July 26, 2001
First Named Inventor	H. Winnfred Smith
Examiner Name	Thong H. Vu
Art Unit	2142
Attorney Docket No.	IDF 1693 (4000-05000)

**METHOD OF PAYMENT (check all that apply)**☐ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): \_\_\_\_\_☒ Deposit Account Deposit Account Number: 21-0765 Deposit Account Name: Sprint

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee☒ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☒ Credit any overpayments

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**FEE CALCULATION (All the fees below are due upon filing or may be subject to a surcharge.)****1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

**2. EXCESS CLAIM FEES**

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	50	25
Each independent claim over 3 (including Reissues)	200	100
Multiple dependent claims	360	180

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)	Multiple Dependent Claims
- 20 or HP =	x	=		Fee (\$)
HP = highest number of total claims paid for, if greater than 20.				Fee Paid (\$)
Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)	
- 3 or HP =	x	=		
HP = highest number of independent claims paid for, if greater than 3.				

**3. APPLICATION SIZE FEE**

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
- 100 =	/ 50 =	(round up to a whole number) x	=	0

**4. OTHER FEE(S)**

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): Certificate of Correction

100.00

**SUBMITTED BY**

Signature		Registration No. (Attorney/Agent) 39,624	Telephone (972) 731-2288
Name (Print/Type)	Rodney B. Carroll	Date	3-21-06

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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MAR 28 2006

**UNITED STATES PATENT AND TRADEMARK OFFICE  
CERTIFICATE OF CORRECTION**Page 1 of 1PATENT NO. : 6,996,601 B1

APPLICATION NO.: 09/915,846

ISSUE DATE : February 7, 2006

INVENTOR(S) : H. Winnfred Smith

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

Column 10,  
Line 58, replace "described ill" with --described in--

Column 11,  
Line 12, replace "partial"-by --partial,--  
Lines 14-15, replace "taken implementation" with --taken in the implementation--  
Line 34, replace "clam 5" with --claim 5--

Column 12,  
Line 40, after "separate" add --entities;--

Column 13,  
Line 9, replace "notify said" with --notifying said--

Column 14,  
Line 24, replace "claim 23" with --claim 22--  
Line 27, replace "claim 22" with --claim 23--

**MAILING ADDRESS OF SENDER (Please do not use customer number below):**

Rodney B. Carroll  
CONLEY ROSE, P.C.  
5700 Granite Parkway, Suite 330  
Plano, Texas 75024

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**MAK 28 2006**